

ADDENDUM NO. 3 (REVISED)

TO: Prospective Bidders
FROM: Donna Johnson, Contract Administrator
DATE: November 22, 2024
PROJECT: I-277 Rail Trail Pedestrian Bridge
 Project No.: PMES181572
 Bid Number: 269-2025-056

The following items are being issued herein for modification and clarification to the Bid Requirements for the project referenced above.

MODIFICATIONS TO PROJECT MANUAL

- 1) Under Section 00 40 00 “ITEMIZED BID” (page 00 40 00 - 3, the following item has been *modified*:

Item #	Section #	Description	Qty	Unit	Unit Price (\$)	Amount Bid (\$) (Qty x Unit Price)
29	SP-12	Mockups	10 6	EA		

The Electronic Itemized Bid Form available on Bonfire’s website has been revised to reflect the above changes.

- 2) On pages 00 75 00- 37 through 39, *delete* **SP-12, MOCKUPS** in its entirety and *replace* with the following:

SP-12 MOCKUPS

Version Date: 11/15/2022

Revision Date: 11/20/2024 by NEW

1.0 GENERAL

The work shall consist of fabricating, furnishing, assembling and installing the following mockups, as shown in the contract documents and as directed by the Engineer. The work shall include providing temporary supports for the mockup.

1. Concrete deck finish, including protective sealing
2. Arch with cable connection plate, including painting, with cable and socket
3. Fabricated deck edge, including railing connection plate and cable connection plate
4. US Bank sign for color, texture, and material approval.
 - a. Color to be selected from manufacturer’s standard offerings.
 - b. Match the architectural renderings.
5. Concrete Bench for color and texture approval.
 - a. Color to be selected from manufacturer’s standard offerings
 - b. Match existing or architect’s sample
 - c. Custom Sample Number _____
6. Decorative Metal Railings for:

- a. Initial selection: for products involving selection of color, texture, or design.
- b. Samples for Verification: For each type of exposed finish required.
 - i. Sections of each distinctly different linear railing member, including handrails, top rails, posts, and balusters
 - ii. Fittings, end caps, and brackets.
 - iii. Assembled Sample of railing system, made from full-size components, including top rail, post, handrail, and guard infill. Sample need not be full height.
 1. Show method of connecting and finishing members at intersections and at transitions between angled and straight segments.
 2. Show method of interfacing, connecting, and finishing members close to the structural bridge arches.

2.0 MATERIALS

As specified in the respective sections of the specifications.

3.0 PRECONSTRUCTION METHODS

A. SUBMITTAL:

1. Mockup plan: copy of proposed location for each mockup
2. Shop drawings: clearly identify components and materials to be integrated into the assembly. Integrate material finishes and any temporary supports required to keep the mockup stable.
3. Structural calculations: to ensure structural integrity of the mockup. Calculations to be signed by a licensed professional structural or civil engineer.
4. As-Built shop drawings: changes based on accepted mockup shall be incorporated into final as-built shop drawings.

B. MOCKUP FOR CONCRETE DECK SURFACE:

Mockup size shall be a minimum of 10ft x 10ft.

Mockup to be used for review of appearance, workmanship and quality of the concrete deck finish. Information for concrete deck and finishes as shown in the contract documents. Contractor has the option to propose equivalent product with same quality and appearance. This should be demonstrated on a separate mockup for comparison.

C. MOCKUP ARCH AND CABLE PLATES:

Mockup size shall be a 10 foot long section of the arch pipe incorporating a typical two-cable connector plate (e.g. the North Arch either side of gridline 11)

Material finishes and requirements for architectural exposed steel and others as specified in the contract documents.

D. MOCKUP FABRICATED DECK EDGE AND CONNECTING PLATES:

Mockup size as shown in contract documents.

Material finishes and requirements for architectural exposed steel and others as specified in the contract documents.

4.0 CONSTRUCTION METHODS

Build mockups in situ and of size and profile indicated or, or as directed by the Owner.

Notify the Owner, Construction Manager, and Engineer 7 days in advance of dates and times when mockups will be constructed and able to be inspected.

Employ supervisory personnel to oversee mockup construction. Employ same workers that will be employed during the construction of Project.

Demonstrate the proposed range of aesthetic effects and workmanship.

Commence the Work after the mockup has been inspected and approved in writing by the Owner.

The mockup will establish the standard of quality of workmanship by which the Work will be judged.

Maintain mockups during construction in an undisturbed condition as a standard for judging the completed Work. Failure to maintain the mockup, until directed, will be cause for rejection of the Work.

Any railing parts and light fixtures from mockup can be incorporated into the final bridge design if approved by the Owner or Engineer.

5.0 MEASUREMENT AND PAYMENT

This work will be measured by each individual mockup and shall be priced separately.

The lump sum price of each mockup shall include the cost of furnishing all labor, materials, equipment and incidental expenses necessary to satisfactorily complete the work.

Payment will be made under:

Mockups.....EA

QUESTIONS AND ANSWERS

1. Is ASTM A1094 Galvanized Rebar an acceptable substitute for the ASTM A767 requested on the structural notes on sheet S-1?

Answer: This is acceptable.

2. Is the Galvanized rebar only for the bridge deck or also for the substructure?

Answer: Galvanized rebar is only for the bridge deck to reduce the concrete cover requirements.

3. Will a specific factor of safety be required for the crane, due to our proximity to the rail line? if so, what value or percentage?

Answer: CATS does not require additional safety factors beyond the standard statutory requirements.

4. A) Regarding mockups. SP-12 only mentions the 3 following mock ups:
 - a) -Concrete deck finish including protective sealing
 - b) -Arch with cable connection plate, including painting with cable and socket
 - c) -Fabricated deck edge, including railing connection plate and cable connection plate.B) The excel quantities have 10 mockups listed, which are the other 7 mockups?

Answers:

A) SP-12 has been revised above in this addendum.

B) The Itemized Bid spreadsheet is revised with this addendum to show the quantity of 6.

5. Due to freight or shipping constraints, most likely the arches will be shipped in two halves (8 pieces total) requiring a field weld about mid arc, to join them in the staging area. The plans do not show any field welds in the arches. Will each of the arches be allowed to be shipped in 2 halves?

Answer: Yes this is acceptable. Welds need to be complete joint penetrations and detail needs to be provided to the Engineer of Record for review and approval.

6. Drawing S-3 calls out the hanger cables to be PG-40 cables and the specs give DIN specification numbers. PG-40 cables under DIN specifications are not US based products. To the best of our knowledge, these are German made products. Since the Buy American Act applies to this project, please provide an alternate model number of specification in which to comply with.

Answer: Cables that consist of: Spiral Strand built up, breaking load of $> 367kN = 82.4kip$ and open swaged fitting connectors are acceptable. Products to be submitted to Engineer Of Record for review and approval.

7. Our rebar supplier has requested to see the engineer would approve the use of the Galvabar product, it is what is currently being spec'd for SCDOT projects. It is ASTM A1094 vs A767 that is being spec'd for this project. It is simply a different process of galvanizing which allows them to fabricate after the bar is galvanized. Please advise.

Answer: NCDOT has not approved this product yet, so this is not an acceptable substitution.

ACKNOWLEDGEMENT BY BIDDER:

Please recognize receipt of this addendum in the acknowledgment addenda section on the **Execution of BID** page.

END OF ADDENDUM NO. 3

ITEMIZED BID (Addendum 3)

Bidder Name: _____
Project #: PMES181572
Project Name: I-277 Rail Trail Pedestrian Bridge

SECTION 1: BID

Item #	Section #	Description	Qty	Unit	Unit Price (\$)	Amount Bid (\$) (Qty x Unit Price)
1	800	Mobilization	1	LS		
2	226	Grading	1	LS		
3	200	Supplementary Clearing and Grubbing	1	AC		
4	SP-01	Select Material	100	TN		
5	226	Undercut Excavation	500	CY		
6	SP-02	Rock Removal	50	CY		
7	SP-03	Excavate, Stockpile, and Transport of Non-hazardous Contaminated Soil	50	TN		
8	270	Geotextile for Soil Stabilization	1000	SY		
9	300	Foundation Conditioning Material, Minor Structures	70	TN		
10	300	Foundation Conditioning Geotextile	220	SY		
11	310	15" R.C. Pipe Culverts, Class III	400	LF		
12	310	18" R.C. Pipe Culverts, Class III	228	LF		
13	340	Pipe Removal	115	LF		
14	SP-04	Foundation Excavation	73	CY		
15	SP-05	Reinforced Concrete Deck Slab	4700	SF		
16	SP-05	Class AA Concrete	247	CY		
17	SP-06	Bearings (Free) (END BEARINGS AT ABUTMENT)	2	EA		
18	SP-06	Bearings (Guided) (END BEARINGS AT ABUTMENT)	2	EA		
19	SP-07	Bridge Deck Sealer	4700	SF		
20	SP-08	9 5/8" Dia. Micropiles	36	EA		
21	SP-08	Micropile Verification Tests	2	EA		
22	SP-08	Micropile Proof Tests	2	EA		

Item #	Section #	Description	Qty	Unit	Unit Price (\$)	Amount Bid (\$) (Qty x Unit Price)
23	SP-08	Demonstration Micropiles	2	EA		
24	SP-09	Bridge Approach Fill - (Fill Behind Abutment @ Sta. 10+66.25)	1	LS		
25	SP-09	Bridge Approach Fill - (Fill Behind Abutment @ Sta. 13+42.27)	1	LS		
26	SP-10	Reinforcing Steel	154400	LB		
27	SP-11	Bridge Hangers/Structural Cables	3100	LB		
28	SP-11	Bridge Hanger Connectors	2800	LB		
29	SP-12	Mockups	6	EA		
30	SP-13	Approx. 153,500 Lbs. Structural Steel	1	LS		
31	SP-16	Decorative Metal Handrail	1	LS		
32	SP-17	Safety Rail	668	LF		
33	SP-18	Metal Handrail	88	LF		
34	SP-19	Painting of Structural Steel (Aesthetic Paint)	1	LS		
35	442	Painting of Structural Steel (Safety Rail)	1	LS		
36	SP-20	Precast Concrete Bench	1	EA		
37	SP-21	Prefabricated Modular Retaining Wall	6100	SF		
38	453	Wall, Gravity Retaining	500	SF		
39	SPS-01	Temporary Shoring	1850	SF		
40	545	Incidental Stone Base	5070	TN		
41	610	Asphalt Concrete Base Course, Type B 25.0C	18	TN		
42	610	Asphalt Concrete Intermediate Course, Type I 19.0C	9	TN		
43	610	Asphalt Concrete Surface Course, Type S 9.5C	7	TN		
44	620	Asphalt Binder for Plant Mix	2	TN		
45	801	Construction Surveying	1	LS		
46	840	Masonry Drainage Structures	21	EA		
47	SP-22	Pedestrian Friendly Trench Drain	39	LF		
48	840	Manhole Frame and Cover, NCDOT Std 840.54	11	EA		
49	840	Masonry Drainage Structures	86	LF		

Item #	Section #	Description	Qty	Unit	Unit Price (\$)	Amount Bid (\$) (Qty x Unit Price)
50	840	Pipe Plugs	1	CY		
51	840	Frame with Grate (Type E)	1	EA		
52	840	Frame with Grate (Type G)	1	EA		
53	840	N.D.I Frame and Grate Std. 840.16	2	EA		
54	846	6" x 12" Concrete Curb	36	LF		
55	SP-23	Flowable Fill (Excavatable)	10	CY		
56	SP-24	6" Concrete Shared-Use Path (SUP)	940	SY		
57	854	Concrete Barrier Transition Section	2	EA		
58	857	Precast Reinforced Concrete Barrier, Single Faced	500	LF		
59	866	Woven Wire Fence, 47" Fabric	5	LF		
60	866	4" Timber Fence Posts, 7'-6" Long	2	EA		
61	876	Riprap Class B	3	TN		
62	876	Geotextile for Drainage	134	SY		
63	901	Contractor Furnished, Type Overlay Sign	72	SF		
64	901	Contractor Furnished, Type "A" Sign	471	SF		
65	903	Supports, Barrier (Small)	5	EA		
66	904	Sign Erection, Type "A" (Overhead)	3	EA		
67	904	Sign Erection, Type "B" (Overhead)	3	EA		
68	904	Sign Erection, Overlay	3	EA		
69	907	Disposal of Sign A or B (Overhead)	3	EA		
70	SP-25	Fixture LG	56	EA		
71	SP-25	Fixture LT	307	EA		
72	SP-25	NEMA 4X 12"X6"X6" Enclosure	4	EA		
73	SP-25	NEMA 3R Electrical Enclosure	1	EA		
74	SP-25	Lighting Controls Enclosure Cabinet Heater	1	EA		
75	SP-25	Lighting Controls Enclosure Cabinet Cooling Unit	1	EA		
76	SP-26	60A MCB, 480-277V Panelboard	1	EA		

Item #	Section #	Description	Qty	Unit	Unit Price (\$)	Amount Bid (\$) (Qty x Unit Price)
77	SP-26	50A MCB, 208-120V Panelboard	1	EA		
78	1091	#6 AWG	520	LF		
79	1091	#8 AWG	30	LF		
80	1091	#10 AWG	3100	LF		
81	1091	3/4" PVC Conduit	1600	LF		
82	1091	Conduit, PVC, CDOT, 1", Schedule 80	110	LF		
83	SP-27	Pull Box, CDOT, (13" x 24" x 12")	2	EA		
84	1091	CAT 5E Data Cable in 1" PVC Conduit	830	LF		
85	1091	Conduit, PVC, CDOT, 2", Schedule 80	330	LF		
86	SP-28	15KVA Transformer	1	EA		
87	SP-29	Lighting controller	1	EA		
88	SP-31	15 foot Metal Base/Pole/Cap for Future CCTV	2	EA		
89	SP-32	Electrical Junction Boxes	100	EA		
90	SP-33	City of Charlotte "Crown" Bike Rack	2	EA		
91	SP-34R	US Bank Signage	2	EA		
92	SP-35	Project Sign	2	EA		
93	1110	Work Zones Signs (Barricade Mounted)	200	SF		
94	SP-36	High Visibility Portable Signs	789	SF		
95	1110	Work Zones Signs (Stationary)	513	SF		
96	SP-36	High Visibility Stationary Signs	267	SF		
97	1115	Flashing Arrow Board	5	EA		
98	1120	Portable Changeable Message Sign	11	EA		
99	1120	Portable Changeable Message Sign (Short term)	85	DY		
100	SP-36	High Visibility Drums	208	EA		
101	SP-37	Covering of Sign	1	EA		
102	1145	Barricades (Type III)	312	LF		
103	1160	Temporary Crash Cushion	5	EA		

Item #	Section #	Description	Qty	Unit	Unit Price (\$)	Amount Bid (\$) (Qty x Unit Price)
104	1160	Remove and Reset Temporary Crash Cushion	2	EA		
105	1165	Truck Mounted Impact Attenuators (TMIA)	8	EA		
106	1170	Portable Concrete Barrier	2750	LF		
107	1170	Remove and Reset Portable Concrete Barrier	1150	LF		
108	1190	Law Enforcement	146	HR		
109	SP-38	Connected Lane Closure System	2	EA		
110	SP-39	Generic Traffic Control Item, Pedestrian Channelizing Devices	210	LF		
111	1140	Sequential Flashing Warning Lights	39	EA		
112	1205	Cold Applied Plastic Pavement Marking Lines, Type 4 (6")	9477	LF		
113	1205	Cold Applied Plastic Pavement Marking Lines, Type 4 (12")	1220	LF		
114	1205	Cold Applied Plastic Pavement Marking Symbol, Type 2	4	EA		
115	1205	Thermoplastic Pavement Marking Lines (6", 90 MILS)	500	LF		
116	1205	Paint Marking Symbols	5	EA		
117	1205	Polyurea Pavement Marking Lines (6", 20 MILS)	320	LF		
118	1205	Removal of Pavement Marking (6")	28701	LF		
119	1205	Removal of Pavement Marking Lines (12")	1140	LF		
120	1205	Removal of Pavement Marking Symbols & Characters	9	EA		
121	1251	Temporary Raised Pavement Markers	121	EA		
122	1251	Permanent Raised Pavement Markers	232	EA		
123	1605	Temporary Silt Fence	770	LF		
124	1610	Erosion Control Stone, Class A	40	TN		
125	SP-40	Catch Basin Inlet Protection - Filter Bag	7	EA		
126	1675	Response for Erosion Control	30	EA		
127	1660	Seeding and Mulching	0.1	AC		
128	SP-41	Concrete Washout Structure	2	EA		
129	SP-42	Relocate Existing I-277 Lighting Apparatus, Conduit, and Wiring	1	LS		

Item #	Section #	Description	Qty	Unit	Unit Price (\$)	Amount Bid (\$) (Qty x Unit Price)
130	1746	Relocate Existing Sign	2	EA		
131	SP-43	Removal of Existing Concrete Barrier	163	LF		
132	SP-45	Safety Fence	1740	LF		
133	SP-46	Work Zone Performance Pavement Marking Lines (6")	9832	LF		
134	SP-46	Work Zone Performance Pavement Marking Lines (12")	1140	LF		
135	SP-47	Cold Applied Contrast Plastic Pavement Markings Lines, Type 2 (9")	3173	LF		
Total Bid						

Do **not** include any North Carolina Sales and Use Tax that qualifies as Eligible Taxes per Section 00 70 00, Subsection 2.16 "Sales and Use Tax".

ACKNOWLEDGMENT OF ADDENDA

Project #: PMES181572
Project: I-277 Rail Trail Pedestrian Bridge
Name of Company (Bidder): _____

The undersigned acknowledges receipt of the following addenda (initial next to each addendum):

1: _____ # 2: _____ # 3: _____ # 4: _____ # 5: _____ # 6: _____ # 7: _____ # 8: _____ # 9: _____

By: _____
Signature of Company's Authorized Representative

Printed Name: _____

Title: _____

Date: _____

LISTING OF DBE SUBCONTRACTORS

M-2

Rev 11-4-13

Sheet _____ of _____

Firm Name and Address	Item No.	Item Description	* Agreed upon Unit Price	** Dollar Volume of Item
Name Address				
Name Address				
Name Address				
Name Address				
Name Address				
Name Address				
Name Address				

* The Dollar Volume shown in this column shall be the Actual Price Agreed Upon by the Prime Contractor and the DBE subcontractor, and these prices will be used to determine the percentage of the DBE participation in the contract.

** Dollar Volume of DBE Subcontractor Percentage of Total Contract Bid Price:
If firm is a Material Supplier Only, show Dollar Volume as 60% of Agreed Upon Amount from Letter of Intent.
If firm is a Manufacturer, show Dollar Volume as 100% of Agreed Upon Amount from Letter of Intent.

LISTING OF DBE SUBCONTRACTORS

M-2

Rev 11-4-13

Sheet _____ of _____

Firm Name and Address	Item No.	Item Description	* Agreed upon Unit Price	** Dollar Volume of Item
Name Address				
Name Address				
Name Address				
Name Address				
Name Address				

* The Dollar Volume shown in this column shall be the Actual Price Agreed Upon by the Prime Contractor and the DBE subcontractor, and these prices will be used to determine the percentage of the DBE participation in the contract.

** Dollar Volume of DBE Subcontractor \$ _____

Percentage of Total Contract Bid Price _____ %

** Dollar Volume of DBE Subcontractor Percentage of Total Contract Bid Price:

If firm is a Material Supplier Only, show Dollar Volume as 60% of Agreed Upon Amount from Letter of Intent.

If firm is a Manufacturer, show Dollar Volume as 100% of Agreed Upon Amount from Letter of Intent.

LETTER OF INTENT TO PERFORM AS A SUBCONTRACTOR

Contract: _____

Name of Bidder: _____

The undersigned intends to perform work in connection with the above contract upon execution of the bid and subsequent award of contract by the City of Charlotte as:

Name of MBE/WBE/DBE Subcontractor _____

Address _____

City _____ State _____ Zip _____

Please check all that apply:

- Minority Business Enterprise (MBE) _____
- Women Business Enterprise (WBE) _____
- Disadvantaged Business Enterprise (DBE) _____

The MBE /WBE /DBE status of the above named subcontractor is certified by the North Carolina Department of Transportation. The above named subcontractor is prepared to perform the described work listed on the attached MBE/WBE/DBE Commitment Items sheet, in connection with the above contract upon execution of the bid and subsequent award of contract by the City of Charlotte. The above named subcontractor is prepared to perform the described work at the estimated Commitment Total for Subcontractor Price identified on the MBE/WBE/DBE Commitment Items sheet and amount indicated below.

Commitment Total based on estimated Unit Prices and Quantities on the "attached" MBE/WBE/DBE

Commitment Items sheet. Amount \$ _____

The above named bidder and subcontractor mutually accepts the Commitment Total estimated for the Unit Prices and Quantities. This commitment total is based on estimated quantities only and most likely will vary up or down as the project is completed. Final compensation will be based on actual quantities of work performed and accepted during the pursuance of work. The above listed amount represents the entire dollar amount quoted based on these estimated quantities. No conversations, verbal agreements, and/or other forms of non-written representations shall serve to add, delete, or modify the terms as stated.

This document shall not serve in any manner as an actual subcontract between the two parties. A separate subcontractor agreement will describe in detail the contractual obligations of the bidder and the MBE/WBE/DBE subcontractor.

Affirmation

The above named MBE/ WBE/ DBE subcontractor affirms that it will perform the portion(s) of the contract for the estimated dollar value as stated above.

Name of MBE/ WBE/ DBE Subcontractor

Name of Bidder

Signature / Title

Signature / Title

Date

Date

CERTIFICATION OF BUY AMERICA (DOMESTIC STEEL & IRON)
FOR
COMPLIANCE WITH NCDOT STANDARD SPECIFICATIONS SECTION 106-1(B)

DOMESTIC STEEL AND IRON PRODUCTS (Buy America):

PROJECT NAME: I-277 Rail Trail Pedestrian Bridge
Name of Company (Bidder): _____

The Contractor hereby certifies that it will comply with the requirements of NCDOT Standard Specifications Section 106-1(B).

By: _____
Signature of Contractor's Authorized Official

Name: _____
Printed Name of Contractor's Authorized Official

Title: _____
Title of Contractor's Authorized Official

Date: _____

Subscribed and sworn to before me this _____ day of _____ 202__

Signature of Notary Public _____

of _____ County

State of _____

My Commission Expires: _____

CERTIFICATION FOR FEDERAL-AID CONTRACTS (NCDOT, SP1 G85)

(3-21-90)

SP1 G85

PROJECT NAME: I-277 Rail Trail Pedestrian Bridge

Name of Company (Bidder): _____

The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, *Disclosure Form to Report Lobbying*, in accordance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by *Section 1352, Title 31, U.S. Code*. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such subrecipients shall certify and disclose accordingly.

By: _____
Signature of Authorized Official

Title: _____

COMMERCIAL NON-DISCRIMINATION CERTIFICATION

Project: I-277 Rail Trail Pedestrian Bridge

Name of Company (Bidder): _____

The undersigned Bidder hereby certifies and agrees that the following information is correct:

1. In preparing its Bid, the Bidder has considered all bids submitted from qualified, potential subcontractors and suppliers, and has not engaged in or condoned discrimination, as defined in Section 2 below.
2. For purposes of this form, discrimination means discrimination in the solicitation, selection, or treatment of any subcontractor, vendor, supplier or commercial customer on the basis of a person's race, color, gender, religion, national origin, ethnicity, age, familial status, sex (including sexual orientation, gender identity and gender expression), veteran status, pregnancy, natural hairstyle or disability, or any otherwise unlawful form of discrimination. Without limiting the foregoing, discrimination also includes retaliating against any person or other entity for reporting any incident of discrimination."
3. Without limiting any other remedies that the City may have for a false certification, it is understood and agreed that, if this certification is false, such false certification will constitute grounds for the City to reject the bid submitted with this certification and terminate any contract awarded based on such bid. It shall also constitute a violation of the City's Commercial Non-Discrimination Ordinance and shall subject the Bidder to any remedies allowed thereunder, including possible disqualification from participating in City contracts or bid process for up to two years.
4. As a condition of contracting with the City, the Bidder agrees to promptly provide to the City all information and documentation that may be requested by the City from time to time regarding the solicitation and selection of subcontractors in connection with this solicitation process. Failure to maintain or failure to provide such information shall constitute grounds for the City to reject the bid submitted by the Bidder and terminate any contract awarded on such bid. It shall also constitute a violation of the City's Commercial Non-Discrimination Ordinance and shall subject the Bidder to any remedies allowed thereunder.
5. As part of its bid, the Bidder shall provide to the City a list of all instances within the past ten years where a complaint was filed or pending against the Bidder in a legal or administrative proceeding alleging that the Bidder discriminated against its subcontractors, vendors or suppliers, and a description of the status or resolution of that complaint, including any remedial action taken.
6. As a condition of submitting a bid to the City, the Bidder agrees to comply with the City's Commercial Non-Discrimination Policy as described in Section 2, Article V of the Charlotte City Code, and consents to be bound by the award of any arbitration conducted thereunder.

By: _____
Signature of Company's Authorized Representative

Title: _____

Date: _____

CONFLICT OF INTEREST

Except as may be identified and explained below, the undersigned hereby certifies that, no member of the Charlotte City Council, officer, employee, or former employee of the City, AND

no relative of persons described above,

has an interest whatsoever (regardless of how indirect and how remote that interest may be) in the Bidder's organization and/or in the proceeds of any contract and/or agreement which might be made between the Bidder and the City as result of the successful bid/proposal accompanied by this certification; no person who is or who during the past twelve (12) months has been a member of the Charlotte City Council, an officer or employee of the City is employed by or on behalf of the Bidder's organization; and that until acceptance of all work or services to be performed under any resulting contract or agreement, the Bidder shall not enter into any contract involving services or property, whether or not related to the performance of any resulting contract or agreement, with any of the aforementioned persons or with any business in which any such person has an interest, direct or indirect.

Except as identified and explained below and with City's prior approval the Bidder shall not engage in any activity, or accept any employment, interest or contribution that would create an appearance of a conflict of interest (personal or organizational) or reasonably appear to compromise the Bidder's judgment with respect to all work or services to be performed under any resulting contract or agreement.

The undersigned certifies that he is legally authorized by the Bidder to make the above representation, and that the representation is true to the best of his knowledge and belief and without deliberate omission of any inquiry which would to the best of his belief tend to change the above representation. The undersigned understands that any representation made knowing it to be false may be cause to disqualify the Bidder from competing for award for the contract at hand, may be cause to terminate the resulting contract and disqualify the Bidder from being awarded future contracts by the City.

The Bidder certifies that neither he nor any agent, representative, or other party acting on his behalf has offered or given any gratuity or gratuities, in the form of gifts, entertainment, or otherwise, to any director, officer, or employee of the City or of any person, firm, consultant or contractor retained by the City, with a view to securing the contract or of securing favorable treatment with respect to the award hereof, and the Bidder further certifies that neither he nor any agent, representative, or other party acting on his behalf will offer or give any such gratuity to any director, officer, or employee of the City or of any such consultant or contractor with a view to securing favorable treatment with respect to any change or amendment to the contract, or to any other action with respect to the performance hereof.

The Bidder further understands that in addition to submitting this certification at the time of bid/proposal submission to the City, the Bidder shall also be required to submit a similar certification at the time of execution of any resulting contract.

NOTE: THIS CERTIFICATION MUST BE SIGNED AND SUBMITTED WITH THE BID/PROPOSAL

Signature: _____

Title: _____ Date of Signing: _____

Firm or Corporate Name: _____

Address: _____

Telephone Number: _____

Contract No. _____
County _____

Rev. 8-28-23

NON-COLLUSION, DEBARMENT AND GIFT BAN CERTIFICATION

CORPORATION

The prequalified bidder, declares (or certifies, verifies, or states) under penalty of perjury under the laws of the United States that neither he, nor any official, agent or employee has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the prequalified bidder has not been convicted of violating N.C.G.S. §133-24 within the last three years, and that the prequalified bidder intends to do the work with his own bona fide employees or subcontractors and will not bid for the benefit of another contractor.

By submitting this non-collusion, debarment and gift ban certification, the Contractor is attesting his status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. §133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

SIGNATURE OF PREQUALIFIED BIDDER

_____ Full name of Corporation

_____ Address as Prequalified

Attest _____ By _____
Secretary/Assistant Secretary President/Vice President/Assistant Vice President
(Select appropriate title) (Select appropriate title)

_____ Print or Type Signer's name _____ Print or Type Signer's name



Contract No. _____
County _____

Rev. 8-28-23

NON-COLLUSION, DEBARMENT AND GIFT BAN CERTIFICATION

PARTNERSHIP

The prequalified bidder, declares (or certifies, verifies, or states) under penalty of perjury under the laws of the United States that neither he, nor any official, agent or employee has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the prequalified bidder has not been convicted of violating *N.C.G.S. § 133-24* within the last three years, and that the prequalified bidder intends to do the work with its own bona fide employees or subcontractors and will not bid for the benefit of another contractor.

By submitting this non-collusion, debarment and gift ban certification, the Contractor is attesting his status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

SIGNATURE OF PREQUALIFIED BIDDER

Full Name of Partnership

Address as Prequalified

Signature of Witness

Signature of Partner

Print or Type Signer's Name

Print or Type Signer's Name

Contract No. _____
County _____

Rev. 8-28-23

NON-COLLUSION, DEBARMENT AND GIFT BAN CERTIFICATION

LIMITED LIABILITY COMPANY

The prequalified bidder, declares (or certifies, verifies, or states) under penalty of perjury under the laws of the United States that neither he, nor any official, agent or employee has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the prequalified bidder has not been convicted of violating *N.C.G.S. § 133-24* within the last three years, and that the prequalified bidder intends to do the work with its own bona fide employees or subcontractors and will not bid for the benefit of another contractor.

By submitting this non-collusion, debarment and gift ban certification, the Contractor is attesting his status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

SIGNATURE OF PREQUALIFIED BIDDER

Full Name of Firm

Address as Prequalified

Signature of Witness

Signature of Member/Manager/Authorized Agent
(*Select appropriate Title*)

Print or Type Signer's Name

Print or Type Signer's Name

Contract No. _____
County _____

Rev. 8-28-23

NON-COLLUSION, DEBARMENT AND GIFT BAN CERTIFICATION

JOINT VENTURE (2) or (3)

The prequalified bidder, declares (or certifies, verifies, or states) under penalty of perjury under the laws of the United States that neither he, nor any official, agent or employee has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the prequalified bidder has not been convicted of violating N.C.G.S. § 133-24 within the last three years, and that the prequalified bidder intends to do the work with its own bona fide employees or subcontractors and will not bid for the benefit of another contractor.

By submitting this non-collusion, debarment and gift ban certification, the Contractor is attesting his status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

SIGNATURE OF PREQUALIFIED BIDDER

Instructions: **2 Joint Venturers** Fill in lines (1), (2) and (3) and execute. **3 Joint Venturers** Fill in lines (1), (2), (3) and (4) and execute. On Line (1), fill in the name of the Joint Venture Company. On Line (2), fill in the name of one of the joint venturers and execute below in the appropriate manner. On Line (3), print or type the name of the other joint venturer and execute below in the appropriate manner. On Line (4), fill in the name of the third joint venturer, if applicable and execute below in the appropriate manner.

(1) _____
Name of Joint Venture

(2) _____
Name of Contractor

Address as Prequalified

Signature of Witness or Attest BY _____
Signature of Contractor

Print or Type Signer's Name _____
If Corporation, affix Corporate Seal AND _____
Print or Type Signer's Name

(3) _____
Name of Contractor

Address as Prequalified

Signature of Witness or Attest BY _____
Signature of Contractor

Print or Type Signer's Name _____
If Corporation, affix Corporate Seal AND _____
Print or Type Signer's Name

(4) _____
Name of Contractor

Address as Prequalified

Signature of Witness or Attest BY _____
Signature of Contractor

Print or Type Signer's Name _____
If Corporation, affix Corporate Seal _____
Print or Type Signer's Name

CORPORATE SEAL(S)

Contract No. _____
County _____

Rev. 8-28-23

NON-COLLUSION, DEBARMENT AND GIFT BAN CERTIFICATION

INDIVIDUAL DOING BUSINESS UNDER A FIRM NAME

The prequalified bidder, declares (or certifies, verifies, or states) under penalty of perjury under the laws of the United States that neither he, nor any official, agent or employee has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the prequalified bidder has not been convicted of violating *N.C.G.S. § 133-24* within the last three years, and that the prequalified bidder intends to do the work with its own bona fide employees or subcontractors and will not bid for the benefit of another contractor.

By submitting this non-collusion, debarment and gift ban certification, the Contractor is attesting his status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

SIGNATURE OF PREQUALIFIED BIDDER

Name of Prequalified Bidder

Individual Name

Trading and Doing Business As

Full name of Firm

Address as Prequalified

Signature of Witness

Signature of Prequalified Bidder, Individual

Print or Type Signer's Name

Print or Type Signer's Name

Contract No. _____
County _____

Rev. 8-28-23

NON-COLLUSION, DEBARMENT AND GIFT BAN CERTIFICATION

INDIVIDUAL DOING BUSINESS IN HIS OWN NAME

The prequalified bidder, declares (or certifies, verifies, or states) under penalty of perjury under the laws of the United States that neither he, nor any official, agent or employee has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the prequalified bidder has not been convicted of violating *N.C.G.S. § 133-24* within the last three years, and that the prequalified bidder intends to do the work with its own bona fide employees or subcontractors and will not bid for the benefit of another contractor.

By submitting this non-collusion, debarment and gift ban certification, the Contractor is attesting his status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

SIGNATURE OF PREQUALIFIED BIDDER

Name of Prequalified
Bidder

Print or Type Name

Address as Prequalified

Signature of Prequalified Bidder, Individually

Print or Type Signer's Name

Signature of Witness

Print or Type Signer's name

CERTIFICATION OF DEBARMENT

Rev. 8-28-23

Conditions for certification:

1. The prequalified bidder shall provide immediate written notice to the Department if at any time the bidder learns that his certification was erroneous when he submitted his debarment certification or explanation that is file with the Department, or has become erroneous because of changed circumstances.
2. The terms covered *transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded*, as used in this provision, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. A copy of the Federal Rules requiring this certification and detailing the definitions and coverages may be obtained from the Contract Officer of the Department.
3. The prequalified bidder agrees by submitting this form, that he will not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in NCDOT contracts, unless authorized by the Department.
4. For Federal Aid projects, the prequalified bidder further agrees that by submitting this form he will include the Federal-Aid Provision titled *Required Contract Provisions Federal-Aid Construction Contract (Form FHWA PR 1273)* provided by the Department, without subsequent modification, in all lower tier covered transactions.
5. The prequalified bidder may rely upon a certification of a participant in a lower tier covered transaction that he is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless he knows that the certification is erroneous. The bidder may decide the method and frequency by which he will determine the eligibility of his subcontractors.
6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this provision. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
7. Except as authorized in paragraph 6 herein, the Department may terminate any contract if the bidder knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available by the Federal Government.

DEBARMENT CERTIFICATION

The prequalified bidder certifies to the best of his knowledge and belief, that he and his principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- e. Will submit a revised Debarment Certification immediately if his status changes and will show in his bid proposal an explanation for the change in status.

If the prequalified bidder cannot certify that he is not debarred, he shall provide an explanation with this submittal. An explanation will not necessarily result in denial of participation in a contract.

Failure to submit a non-collusion affidavit and debarment certification will result in the prequalified bidder's bid being considered non-responsive.

Check here if an explanation is attached to this certification.

**STATE OF NORTH CAROLINA
CITY OF CHARLOTTE
CHARLOTTE, NC**

BID BOND

Principal: _____
Name of Principal Contractor

Surety: _____
Name of Surety

Contract Number: _____ County: _____

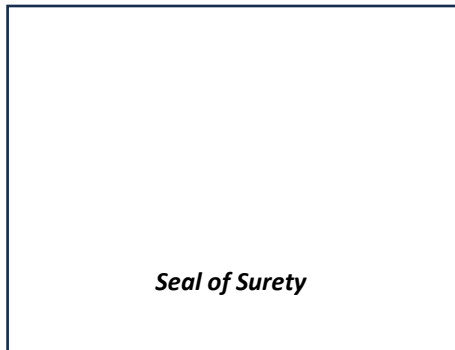
Date of Bid: _____

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL CONTRACTOR (hereafter, PRINCIPAL) and SURETY above named, are held and firmly bound unto the **CITY OF CHARLOTTE** in the full and just sum of five (5) percent of the total amount bid by the Principal for the project stated above, for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

NOW, THEREFORE, the condition of this obligation is: the Principal shall not withdraw its bid within sixty (60) days after the opening of the bids, or within such other time period as may be provided in the proposal, and if the **CITY OF CHARLOTTE** shall award a contract to the Principal, the Principal shall, within fourteen (14) calendar days after written notice of award is received by him, provide bonds with good and sufficient surety, as required for the faithful performance of the contract and for the protection of all persons supplying labor, material, and equipment for the prosecution of the work. In the event the Principal requests permission to withdraw his bid due to mistake in accordance with the provisions of Article 103-3 of the *Standard Specifications for Roads and Structures*, the conditions and obligations of this Bid Bond shall remain in full force and effect until the **CITY OF CHARLOTTE** makes a final determination to either allow the bid to be withdrawn or to proceed with award of the contract. In the event a determination is made to award the contract, the Principal shall have fourteen (14) calendar days to comply with the requirements set forth above. In the event the Principal withdraws its bid after bids are opened except as provided in Article 103-3, or after award of the contract has been made fails to execute such additional documents as may be required and to provide the required bonds within the time period specified above, then the amount of the bid bond shall be immediately paid to the **CITY OF CHARLOTTE** as liquidated damages.

IN TESTIMONY WHEREOF, the Principal and Surety have caused these presents to be duly signed and sealed.

This the _____ day of _____, 20 _____



Surety

By _____
General Agent or Attorney-in-Fact Signature

Print or Type Signer's Name

Contract No. _____
County _____

Rev. 8-28-23

BID BOND

CORPORATION

SIGNATURE OF CONTRACTOR (Principal)

Full name of Corporation

Address as prequalified

By _____
Signature of **President, Vice President, Assistant Vice President**
Select appropriate title



Print or Type Signer's name

Attest _____
Signature of **Secretary, Assistant Secretary**
Select appropriate title

Print or Type Signer's name

Contract No. _____
County _____

Rev. 8-28-23

BID BOND

LIMITED LIABILITY COMPANY

SIGNATURE OF CONTRACTOR (Principal)

Name of Contractor

Full name of Firm

Address as Prequalified

**Signature of Member/
Manager/Authorized Agent**

Individually

Print or type Signer's name

Contract No. _____
County _____

Rev. 8-28-23

BID BOND

INDIVIDUAL DOING BUSINESS UNDER A FIRM NAME

SIGNATURE OF CONTRACTOR (Principal)

Name of Contractor

Individual Name

Trading and doing business as

Full name of Firm

Address as Prequalified

Signature of Contractor

Individually

Print or Type Signer's name

Signature of Witness

Print or Type Signer's name

Contract No. _____
County _____

Rev. 8-28-23

BID BOND

INDIVIDUAL DOING BUSINESS IN HIS OWN NAME

SIGNATURE OF CONTRACTOR (Principal)

Name of Contractor _____

Print or type Individual Name

Address as Prequalified

Signature of Contractor _____

Individually

Print or Type Signer's name

Signature of Witness

Print or Type Signer's name

Contract No. _____
County _____

Rev. 8-28-23

BID BOND

PARTNERSHIP

SIGNATURE OF CONTRACTOR (Principal)

Full name of Partnership

Address as Prequalified

By _____
Signature of Partner

Print or Type Signer's name

Signature of Witness

Print or Type Signer's name

Contract No. _____
 County _____

Rev. 8-28-23

BID BOND
JOINT VENTURE (2 or 3)
 SIGNATURE OF CONTRACTORS (Principal)

Instructions to Bidders: **2 Joint Ventures**, Fill in lines (1), (2) and (3) and execute. **3 Joint Venturers** Fill in lines (1), (2), (3), (4) and execute. Line (1), print or type the name of Joint Venture. On line (2), print or type the name of one of the joint venturers and execute below in the appropriate manner required by Article 102-8 of the *Specifications*. On Line (3), print or type the name of second joint venturer and execute below in the appropriate manner required by said article of the *Specifications*. On Line (4), print or type the name of the third joint venturer, if applicable and execute below in the appropriate manner required by said article of the *Specifications*. This form of execution must be strictly followed.

(1) _____
 Name of Joint Venture

(2) _____
 Name of Contractor

 Address as prequalified

Signature of Witness or Attest By Signature of Contractor

Print or type Signer's name Print or type Signer's name
If Corporation, affix Corporate Seal

and

(3) _____
 Name of Contractor

 Address as prequalified

Signature of Witness or Attest By Signature of Contractor

Print or type Signer's name Print or type Signer's name
If Corporation, affix Corporate Seal

and

(4) _____
 Name of Contractor *(for 3 Joint Venture only)*

 Address as prequalified

Signature of Witness or Attest By Signature of Contractor

Print or type Signer's name Print or type Signer's name
If Corporation, affix Corporate Seal

CORPORATE SEALS(S)